



Attorney Docket
034089.002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Takashi SAWAGUCHI Confirmation No. 1644
Serial No. : 09/668,741 Art Unit: 2131
Filed : September 25, 2000 Examiner: Joseph M. Mcardle
For: : PORTABLE PERSONAL AUTHENTICATION APPARATUS
AND ELECTRONIC SYSTEM TO WHICH ACCESS IS
PERMITTED BY THE SAME

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JUL 20 2004

Technology Center 2100

Sir:

A response to the Office Action dated April 23, 2004 is due July 23, 2004.

Therefore, please accept this Amendment as timely filed and fully responsive.

Kindly amend the above-identified patent application as follows:

07/15/2004 HVUONG1 00000096 09668741

01 FC:2202

99.00 0P



2131

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **\$99****Complete If Known**

Application Number	09/668,741
Filing Date	September 25, 2000
First Named Inventor	Takashi SAWAGUCHI
Examiner Name	Joseph M. Mcardle
Art Unit	2131
Attorney Docket No.	034089.002

RECEIVED

JUL 20 2004

Technology Center 2100

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None Order				3. ADDITIONAL FEES																																																	
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 02-4300 Deposit Account Name: Smith, Gambrell & Russell																																																					
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																					
FEE CALCULATION																																																					
1. BASIC FILING FEE																																																					
<table><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td colspan="2"></td></tr></tbody></table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	770	2001	385	Utility filing fee		1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)									
Large Entity		Small Entity		Fee Description	Fee Paid																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																		
1001	770	2001	385	Utility filing fee																																																	
1002	340	2002	170	Design filing fee																																																	
1003	530	2003	265	Plant filing fee																																																	
1004	770	2004	385	Reissue filing fee																																																	
1005	160	2005	80	Provisional filing fee																																																	
SUBTOTAL (1)																																																					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																																					
Total Claims 37 -26 ** = 11 X 9 = \$99																																																					
Independent Claims 2 -3 ** = 0 X =																																																					
Multiple Dependent X = 0																																																					
<table><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201</td><td>86</td><td>2201</td><td>43</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203</td><td>290</td><td>2203</td><td>145</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>1204</td><td>86</td><td>2204</td><td>43</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="4">SUBTOTAL (2) (\$ 99</td><td colspan="2"></td></tr></tbody></table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	86	2201	43	Independent claims in excess of 3		1203	290	2203	145	Multiple dependent claim, if not paid		1204	86	2204	43	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$ 99									
Large Entity		Small Entity		Fee Description	Fee Paid																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																		
1202	18	2202	9	Claims in excess of 20																																																	
1201	86	2201	43	Independent claims in excess of 3																																																	
1203	290	2203	145	Multiple dependent claim, if not paid																																																	
1204	86	2204	43	** Reissue independent claims over original patent																																																	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																	
SUBTOTAL (2) (\$ 99																																																					
**or number previously paid, if greater; For Reissues, see above																																																					
				Fee Description																																																	
				Fee Paid																																																	
				1051 130 2051 65 Surcharge - late filing fee or oath																																																	
				1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.																																																	
				1053 130 1053 130 Non-English specification																																																	
				1812 2,520 1812 2,520 For filing a request for reexamination																																																	
				1804 920* 1804 920* Requesting publication of SIR prior to Examiner action																																																	
				1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action																																																	
				1251 110 2251 55 Extension for reply within first month																																																	
				1252 420 2252 210 Extension for reply within second month																																																	
				1253 950 2253 475 Extension for reply within third month																																																	
				1254 1,480 2254 740 Extension for reply within fourth month																																																	
				1255 2,010 2255 1,005 Extension for reply within fifth month																																																	
				1401 330 2401 165 Notice of Appeal																																																	
				1402 330 2402 165 Filing a brief in support of an appeal																																																	
				1403 290 2403 145 Request for oral hearing																																																	
				1451 1,510 1451 1,510 Petition to institute a public use proceeding																																																	
				1452 110 2452 55 Petition to revive - unavoidable																																																	
				1453 1,330 2453 665 Petition to revive - unintentional																																																	
				1501 1,330 2501 665 Utility issue fee (or reissue)																																																	
				1502 480 2502 240 Design issue fee																																																	
				1503 640 2503 320 Plant issue fee																																																	
				1460 130 1460 130 Petitions to the Commissioner																																																	
				1807 50 1807 50 Processing fee under 37 CFR 1.17 (q)																																																	
				1806 180 1806 180 Submission of Information Disclosure Stmt																																																	
				8021 40 8021 40 Recording each patent assignment per property (times number of properties)																																																	
				1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))																																																	
				1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))																																																	
				1801 770 2801 385 Request for Continued Examination																																																	
				1802 900 1802 900 Request for expedited examination of a design application																																																	
				Other fee (specify) _____																																																	
				*Reduced by Basic Filing Fee Paid																																																	
				SUBTOTAL (3) (\$)																																																	

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Glenn J. Perry	Registration No. (Attorney/Agent)	28,458	Telephone	202/973-2611
Signature		Date	July 14, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.